

Audit and Governance Committee - Action Log
Date of Meeting: 28 July 2022

Meeting Date	Item No	Report Title	Action	Officer	Deadline for Action
17 January 2022 & 26 May 2022	6	Update on the Local Ward budgets and 'Top Up' service pilot scheme	The Committee had some discussion about the role of governance and assurance within the process, and concerns were reiterated about a decision being made that had not been mandated at the budget meeting 12 months ago. This was deemed a governance issue by the Committee. The Chair noted that the scheme was agreed by Council with an amendment that it was linked to Highways, it was never discussed by Council that the scheme would be a pilot, suggestion was given to form a proportionally balanced working group to explore this issue further. The Director of Governance and Compliance and Monitoring Officer reviewed the amendment from 2021 and noted a review mechanism as part of the scheme in 2022/23. The Committee was advised that to act upon evidence and request a report back to	Previously Andrew Ross, now John David also David Brown	Update: Council approved the <i>Recommendation from Cabinet: Corporate Plan and Medium-Term Financial Strategy 2021-25</i> on 17 February 2021. The resolution in relation to the Member Budget was: RESOLVED: That Council approve: 14. The amendment to establish devolved ward member highways budgets of at least £4,200 per Ward Member for the financial year 2021/2022, with the proposal to be funded from the current allocation of Capital Grant funding to the Area Highway Groups of £350,000 and that the Committee System review

			Committee in time for the next meeting this suggestion was accepted by the Committee		achievements of the ward budgets scheme in 2021/22 with a view to widening the scheme in 2022/23 and beyond with a significant increase in the budget amount to be allocated to each Ward Member.
10 March 2022 & 26 May 2022	13	Standing Item: Work Programme 2021/22 and 2022/23	The Chair and Vice Chair to meet John David, Interim Director of Infrastructure and Highways via Microsoft Teams to discuss potential items for this Committees Work Programme and shared with the Committee.	Helen Davies	Update: Tom Moody is now the permanent Director of Infrastructure and Highways. Helen has sought a date for the Chair and Vice Chair. To note: A Highways all-member briefing took place on 4 July 2022.
10 March 2022 & 26 May 2022	13	Standing Item: Work Programme 2021/22 and 2022/23	Josie Griffiths, Head of Audit and Risk advised the Committee that risk management training was being scheduled and discussions were in place with the Chair and Vice Chair, and refresher training across core subjects would be given to the Committee in due course. The Committee requested that if the dates to be scheduled were in early June, the dates should be circulated asap.	Helen Davies Josie Griffiths/Helen Davies/Diane Moulson	Update: Josie Griffiths has appointed a Risk and Business Continuity Officer in June. Training is scheduled for 22 July via Teams for new members of the Committee plus any members requesting refresher training.
26 May 2022	5	Audit & Governance Action Log	The Committee requested an item be added to the log in respect of customer experience specifically reassurances from	David Brown	Update: Helen contacted the Director of Policy and Change for advice with regard to reassurances.

			Council engagements with Town and Parish Councils, David Brown advised he would follow up outside of the meeting		<p>At Corporate Policy Committee 14 July 2022, a report on the new approach to consultation and engagement was received alongside a draft Action Plan. Member views, plus those of the Town and Parish Councils will feed into the toolkit and there will be an item on this at the Member Influence Panel on 22nd September 2022.</p> <p>The outcomes of the work (including the toolkit and programme of activities) will be presented back to Corporate Policy with a tentative date of 1 December 2022.</p> <p>There is a suggestion for the Audit & Governance Committee to support an evaluation of the implementation of the new approach after 12-18 months.</p>
26 May 2022	10.	Annual Risk Management Report 2021-22	There was some discussion about a national issue or staff being poached across borders particularly in the Social Care sector. And a letter Councillor Bulman spoke about that Kath O'Dwyer	Josie Griffiths/Helen Davies	Update: Helen emailed Leane Moore to determine if the letter is on file and nothing was found. Helen emailed the Executive Director of Adults and Social Care and the

			had instigated to the Secretary of State on this subject. The Committee asked for sight of this.		Director of Commissioning to determine if the poaching of staff is an issue at present.
26 May 2022	11.	Work Programme	The Ward Budget decision needed to be added to the Work Programme; and The Code of Conduct item needed to be deferred until the July meeting.	David Brown/Jamie Hollis/Helen Davies	Update: Code of Conduct item to be put on 28 July agenda as the first item of business.